

FIRM SUBMISSION

- Firm submissions:**
- Open a location
 - Location address information change
 - Close a location
 - Branch Manager change
 - Annual fee exclusion
 - Location status change

INDIVIDUAL SUBMISSION

- Individual submissions:**
- Initial:*
- Initial registration
 - Transfer of registration
 - Registration with an additional sponsoring firm
 - Registration in an additional jurisdiction
 - Reactivation of registration
 - Registration information for an individual included in the data transfer

- Amendments:*
- Change/surrender categories (including changes to officer titles)
 - Apply for exemption

- Notices:*
- Termination
 - Individual name
 - Residential address
 - Personal information
 - Citizenship
 - Address and Agent for service
 - Proficiency information
 - Exemption refusal
 - Employment location
 - Current employment
 - Regulatory disclosure
 - Criminal disclosure
 - Civil disclosure
 - Financial disclosure
 - Related securities firms

SEARCH

- Search your firm's:**
- Individuals
 - Individual submissions
 - Firm submissions
 - Business locations

WORK IN PROGRESS

Resume work on submissions you've already begun, or submissions that have been re-assigned to you by another AFR in your firm

SENT TO REGULATORS

View submissions that you have **sent to regulators** which have not yet been dispositioned. Track fee payment associated with such submissions.

FIRM INFORMATION

This **permanent record** includes your firm's current and historical:

- Name(s) and other business names
- Head office info
- Registration categories
- Terms and conditions
- Business locations
- Annual fee summary

ADMIN TOOLS

- Chief AFR/AFR Admin:**
- Set up users
 - Maintain users
 - Change your password
 - Reset an AFR's password
 - Revoke an AFR's access to NRD
 - Resubmit fee payments
 - Generate reports
- AFR:**
- Change password
 - Resubmit fee payments
 - Generate reports

